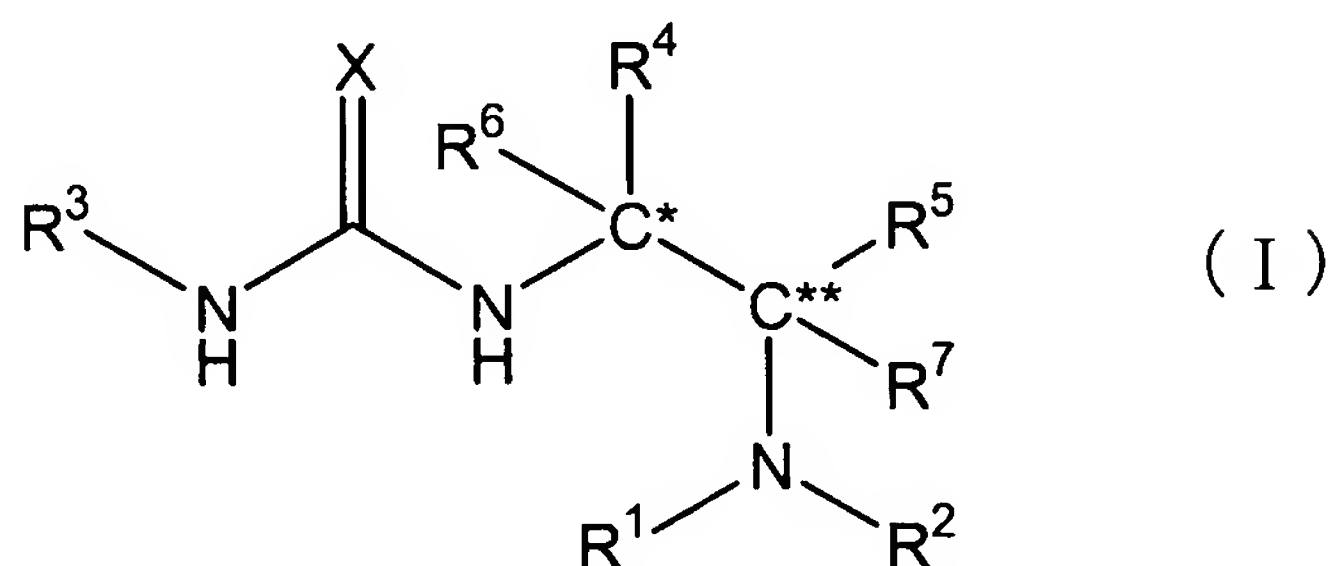


## AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions and listings of claims in the application:

### LISTING OF CLAIMS:

1. (original): A compound represented by the formula (I):



wherein

X is an oxygen atom or a sulfur atom;

C\* and C\*\* are each independently an asymmetric carbon;

R<sup>1</sup> and R<sup>2</sup> are

the same or different and each is a lower alkyl group optionally having substituent(s), an aralkyl group optionally having substituent(s) or an aryl group optionally having substituent(s), or R<sup>1</sup> and R<sup>2</sup> optionally form, together with the nitrogen atom they are bonded to, an aliphatic heterocycle optionally having substituent(s) (the aliphatic heterocycle is optionally condensed with an aromatic hydrocarbon);

R<sup>3</sup> is

a lower alkyl group optionally having substituent(s), an aralkyl group optionally having substituent(s), an aryl group optionally having substituent(s) or a heteroaryl group optionally having substituent(s);

$R^4$  and  $R^5$  are

the same or different and each is a lower alkyl group optionally having substituent(s), an aralkyl group optionally having substituent(s) or an aryl group optionally having substituent(s), or  $R^4$  and  $R^5$  optionally form, together with the asymmetric carbons they are respectively bonded to, a homocyclic ring optionally having substituent(s) or a heterocycle optionally having substituent(s); and

$R^6$  and  $R^7$  are

the same or different and each is a hydrogen atom or a lower alkyl group optionally having substituent(s),

or a salt thereof.

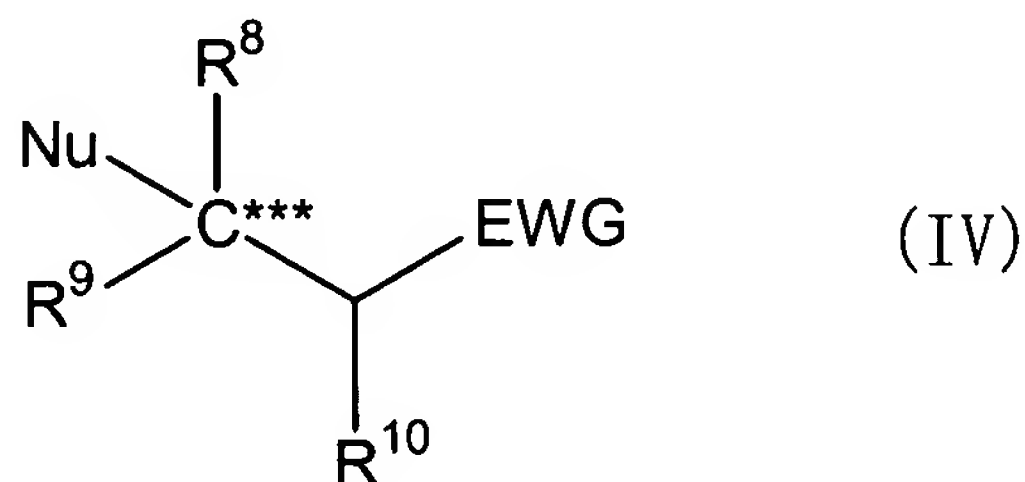
2. (original): The compound of claim 1, wherein X is a sulfur atom, or a salt thereof.

3. (currently amended): The compound of claim 1 ~~or 2~~, wherein  $R^4$  and  $R^5$  form, together with the asymmetric carbons they are respectively bonded to, cyclopropane, cyclobutane, cyclopentane or cyclohexane, or a salt thereof.

4. (original): The compound of claim 3, wherein  $R^4$  and  $R^5$  form cyclohexane together with the asymmetric carbons they are respectively bonded to, and  $R^6$  and  $R^7$  are each a hydrogen atom, or a salt thereof.

5. (original): The compound of claim 4, wherein the absolute configurations of  $C^*$  and  $C^{**}$  are both S-configurations or both R-configurations, or a salt thereof.

6. (currently amended): A method of producing a compound represented by the formula (IV):



wherein

$C^{***}$  is an asymmetric carbon;

$R^8$ ,  $R^9$  and  $R^{10}$  are

the same or different and each is a hydrogen atom, a lower alkyl group optionally having substituent(s), an aralkyl group optionally having substituent(s), an aryl group optionally having substituent(s), a heteroaryl group optionally having substituent(s), a hetero atom optionally having substituent(s) or an electron withdrawing group, or  $R^9$  and  $R^{10}$  optionally form, together with the carbon atoms they are respectively bonded to, a homocyclic ring optionally having substituent(s) or a heterocycle optionally having substituent(s), provided that  $R^8$  and  $R^9$  are not the same groups;

EWG is

an electron withdrawing group selected from a nitro group, a cyano group,  $-\text{COR}^{11}$ ,  $-\text{SO}_2\text{R}^{12}$ ,  $-\text{COOR}^{13}$  and  $-\text{PO}(\text{OR}^{14})(\text{OR}^{15})$

wherein

$\text{R}^{11}$ ,  $\text{R}^{12}$ ,  $\text{R}^{13}$ ,  $\text{R}^{14}$  and  $\text{R}^{15}$  are the same or different and each is a hydrogen atom, a lower alkyl group optionally having substituent(s), an aralkyl group optionally having substituent(s), an aryl group optionally having substituent(s) or a heteroaryl group optionally having substituent(s), or  $\text{R}^{11}$  and  $\text{R}^8$ , or  $\text{R}^{11}$  and  $\text{R}^{10}$ , optionally form, together with the carbon atom(s) they are respectively bonded to, a homocyclic ring having an electron withdrawing group and optionally having substituent(s); and

Nu is

$-\text{CR}^{16}(\text{COR}^{17})(\text{COR}^{18})$ ,  $-\text{OR}^{19}$ ,  $-\text{SR}^{20}$ ,  $-\text{NR}^{21}\text{R}^{22}$ ,  $-\text{C}(\text{NO}_2)\text{R}^{23}\text{R}^{24}$

wherein

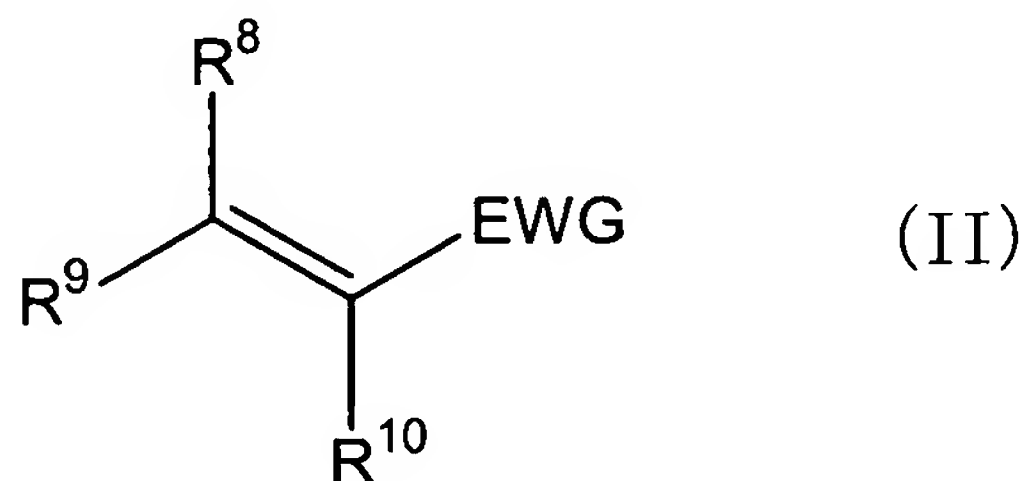
$\text{R}^{16}$  is a hydrogen atom, a halogen atom, a hetero atom having substituent(s), a lower alkyl group optionally having substituent(s) or an aryl group optionally having substituent(s);

$\text{R}^{17}$  and  $\text{R}^{18}$  are the same or different and each is a hydrogen atom, a lower alkyl group, a lower alkoxy group, a mono-lower alkylamino group or a di-lower alkylamino group;

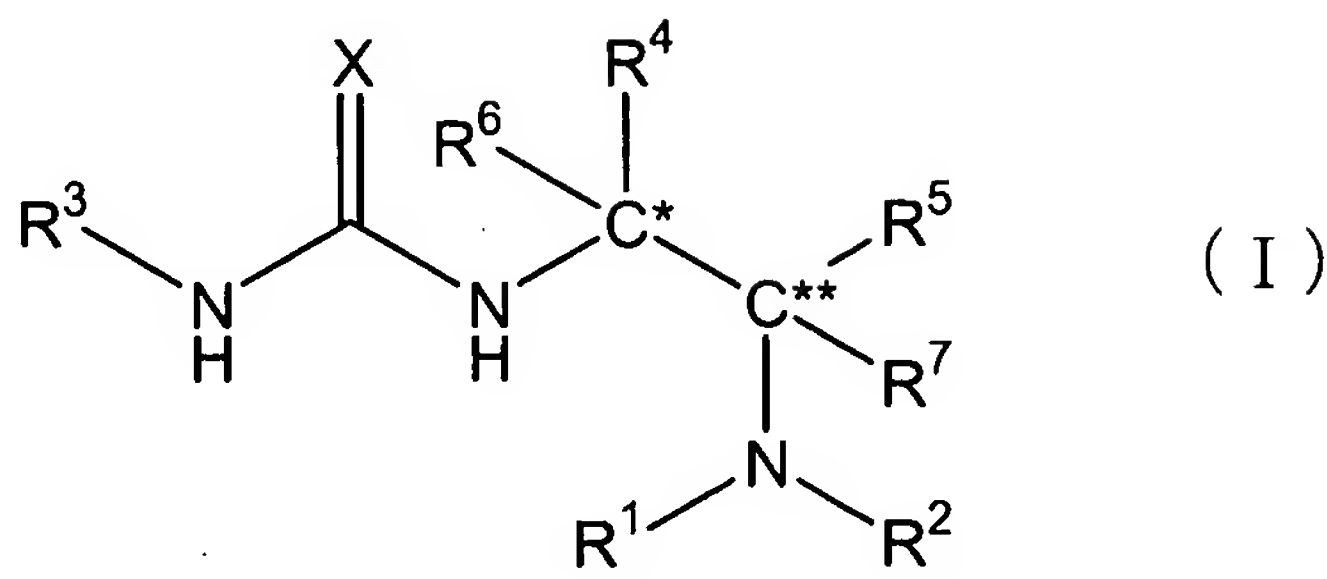
$R^{16}$  and  $R^{17}$  optionally form, together with the carbon atoms they are respectively bonded to, a homocyclic ring optionally having substituent(s) or a heterocycle optionally having substituent(s) (the homocyclic ring and heterocycle are optionally condensed with an aromatic hydrocarbon); and  $R^{19}$ ,  $R^{20}$ ,  $R^{21}$ ,  $R^{22}$ ,  $R^{23}$  and  $R^{24}$  are the same or different and each is a hydrogen atom, a lower alkyl group optionally having substituent(s), an aralkyl group optionally having substituent(s), an aryl group optionally having substituent(s) or a heteroaryl group optionally having substituent(s), or  $R^{21}$  and  $R^{22}$  optionally form, together with the nitrogen atom they are bonded to, an aliphatic heterocycle optionally having substituent(s), or

an azido group,

or a salt thereof, which comprises conjugately adding a nucleophilic reagent represented by the formula (III): H-Nu (III) wherein Nu is as defined above, to a compound represented by the formula (II):



wherein each symbol is as defined above, or a salt thereof, in the presence of a compound represented by the formula (I):



wherein

X is an oxygen atom or a sulfur atom;

C\* and C\*\* are each independently an asymmetric carbon;

R<sup>1</sup> and R<sup>2</sup> are

the same or different and each is a lower alkyl group optionally having substituent(s), an  
aralkyl group optionally having substituent(s) or an aryl group optionally having  
substituent(s), or R<sup>1</sup> and R<sup>2</sup> optionally form, together with the nitrogen atom they are  
bonded to, an aliphatic heterocycle optionally having substituent(s) (the aliphatic  
heterocycle is optionally condensed with an aromatic hydrocarbon);

R<sup>3</sup> is

a lower alkyl group optionally having substituent(s), an aralkyl group optionally having  
substituent(s), an aryl group optionally having substituent(s) or a heteroaryl group  
optionally having substituent(s);

R<sup>4</sup> and R<sup>5</sup> are

the same or different and each is a lower alkyl group optionally having substituent(s), an  
aralkyl group optionally having substituent(s) or an aryl group optionally having

substituent(s), or R<sup>4</sup> and R<sup>5</sup> optionally form, together with the asymmetric carbons they are respectively bonded to, a homocyclic ring optionally having substituent(s) or a heterocycle optionally having substituent(s); and

R<sup>6</sup> and R<sup>7</sup> are

the same or different and each is a hydrogen atom or a lower alkyl group optionally having substituent(s),

or a salt thereof~~for a salt thereof of any of claims 1 to 5.~~

7. (original): The method of claim 6, wherein Nu is -CR<sup>16</sup>(COR<sup>17</sup>)(COR<sup>18</sup>),  
-OR<sup>19</sup>, -SR<sup>20</sup>, -NR<sup>21</sup>R<sup>22</sup>, -C(NO<sub>2</sub>)R<sup>23</sup>R<sup>24</sup>

wherein

R<sup>16</sup> is a hydrogen atom, a halogen atom, a lower alkyl group optionally having substituent(s) or an aryl group optionally having substituent(s);

R<sup>17</sup> and R<sup>18</sup> are the same or different and each is a hydrogen atom, a lower alkyl group, a lower alkoxy group, a mono-lower alkylamino group or a di-lower alkylamino group;

R<sup>19</sup>, R<sup>20</sup>, R<sup>21</sup>, R<sup>22</sup>, R<sup>23</sup> and R<sup>24</sup> are the same or different and each is a hydrogen atom, a lower alkyl group optionally having substituent(s), an aralkyl group optionally having substituent(s), an aryl group optionally having substituent(s) or a heteroaryl group optionally having substituent(s), or R<sup>21</sup> and R<sup>22</sup> optionally form, together with the nitrogen atom they are bonded to, an aliphatic heterocycle optionally having substituent(s), or

an azido group.

8. (currently amended): The method of claim ~~6 or 7~~, wherein the electron withdrawing group for EWG is a nitro group.

9. (currently amended): The method of ~~any of claims 6 to 8~~ claim 6, wherein  $R^8$  and  $R^{10}$  are each a hydrogen atom, and  $R^9$  is a lower alkyl group optionally having substituent(s), an aryl group optionally having substituent(s) or a heteroaryl group optionally having substituent(s).

10. (currently amended): The method of ~~any of claims 6 to 9~~ claim 6, wherein the nucleophilic reagent (III) is represented by  $HCR^{16}(COR^{17})(COR^{18})$  wherein each symbol is as defined ~~above~~ in claim 6.

11. (original): The method of claim 10, wherein  $R^{16}$  is a hydrogen atom, a lower alkyl group optionally having substituent(s), a halogen atom or a hetero atom having substituent(s), and  $R^{17}$  and  $R^{18}$  are the same or different and each is a lower alkoxy group.

12. (original): The method of claim 11, wherein  $R^{16}$  is a hydrogen atom, methyl, a chlorine atom, methoxy or tert-butoxycarbonylamino, and  $R^{17}$  and  $R^{18}$  are each methoxy or ethoxy.

13. (original): The method of claim 10, wherein  $R^{16}$  and  $R^{17}$  optionally form, together with the carbon atoms they are respectively bonded to, a homocyclic ring optionally having substituent(s) (the homocyclic ring is optionally condensed with an aromatic hydrocarbon).



Yoshiji TAKEMOTO  
Atty. Dkt.: Q91454  
Preliminary Amendment  
Page 10

14. (original): The method of claim 13, wherein the homocyclic ring is 1,2,3,4-tetrahydronaphthalen-1-one.

15. (currently amended): The method of ~~any of claims 6 to 14~~claim 6, which is performed in at least one solvent selected from toluene and methylene chloride.

16. (currently amended): The method of ~~any of claims 6 to 14~~claim 6, which is performed without a solvent.